



# **ELEN E3106/4106 Lecture 13**

## **Optoelectronics Part II: LEDs and Lasers**

### Outline

- Light-emitting diodes
- Lasers
- Semiconductor lasers

#### **Assignments:**

Reading: Streetman and Banerjee §8.2-8.4  
Homework 5 due tomorrow Friday Oct. 1<sup>st</sup> by 5pm

# Relationships between Optical Power, QE, Responsivity, and Photocurrent

- Recall,  $g_{op}$ : optical generation rate of EHPs ( $\text{cm}^{-3}\text{s}^{-1}$ )
- $J_{op}$  or  $J_{ph}$ : Photocurrent density ( $\text{A}/\text{cm}^2$ )  $\rightarrow$   $I_{op}$  or  $I_{ph}$  (A)
- $P_o$ : Incident optical power or power density ( $W$  or  $\text{W}/\text{cm}^2$ )
- $R$ : Responsivity, photocurrent generated per incident optical power ( $\text{A}/\text{W}$ )  

$$R = \frac{J_{op}}{P_o} \frac{(\text{A}/\text{cm}^2)}{(\text{W}/\text{cm}^2)}$$
- $\eta_Q$ : External quantum efficiency (%) # of EHPs generated per photon

What is max  $\eta_Q$  if there is no gain mechanism (gain = 1)? 100% or 1

How many ~~photons~~ <sup>carriers</sup> per unit area per second?  $J_{op}/q$

How many ~~carriers~~ <sup>photons</sup> per unit area per second do we collect?  $P_{op}/(h\nu)$  collected

Thus, we can write our QE: # carriers / # photons  

$$\eta_Q = (J_{op}/q)/(P_{op}/h\nu)$$

## Vegard's Law for Alloys

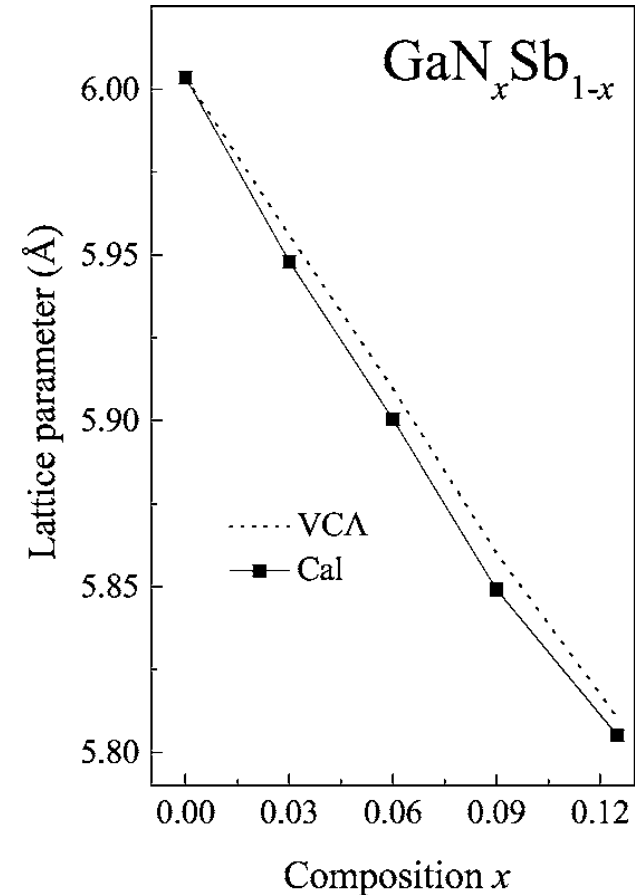
- Resembles the law of mixtures
- Lattice parameter, band gap are approximately weighted means of the constituents in an alloy:

$$a_{A(1-x)Bx} = (1-x)a_A + xa_B$$

- Where  $x$  is the molar fraction
- In many cases linear interpolation can be used to find the bandgap:

$$E_{g,A(1-x)Bx} = (1-x)E_A + xE_B$$

- Ex.  $E_{g,Al_{0.8}Ga_{0.2}N} = \underline{0.8} E_{g,AlN} + \underline{0.2} E_{g,GaN}$



## Example: p-i-n photodiode design

Consider a p-i-n photodiode with "i" <sup>intrinsic</sup> region made of  $\text{In}_x\text{Ga}_{1-x}\text{As}$ . Design stoichiometry "x" and thickness of the "i" region ( $W_i$ ) to enable response at  $1.3\text{ }\mu\text{m}$  wavelength, up to 20 GHz signals. Assume fields are sufficiently high to reach  $v_{\text{sat}} \approx 10^7\text{ cm/s}$  in the "i" region. Name at least one design constraint on the "p" and "n" regions of this photodiode. You may assume the lattice constant and band gap of  $\text{In}_x\text{Ga}_{1-x}\text{As}$  vary linearly with composition "x". (Vegard's Law)

$$a) \lambda = 1.3\text{ }\mu\text{m} \rightarrow E_g = \frac{h\nu}{\lambda} = \frac{1.24}{\lambda(\text{um})} = \frac{1.24}{1.3} \approx \boxed{0.95\text{ eV}}$$

$$0.95 = E_g(\text{In}_x\text{Ga}_{1-x}\text{As}) = x E_g(\text{InAs}) + (1-x) E_g(\text{GaAs})$$
$$= x(0.36) + (1-x)(1.43)$$

$$\rightarrow \boxed{x = 0.45} \text{ 45\% molar fraction InAs}$$

$$\boxed{\text{In}_{0.45}\text{GaAs}}$$

For p-i-n diodes, we assume nearly all depletion width is in "i"

$$f_{\text{max}} \approx \frac{1}{\tau} = \frac{v_{\text{sat}}}{W_i} = 20 \times 10^9\text{ Hz}$$

$$\text{Rearranging, } W_i = \frac{v_{\text{sat}}}{f} = \frac{10^7\text{ cm/s}}{20 \times 10^9\text{ Hz}} = \boxed{5\text{ }\mu\text{m}}$$

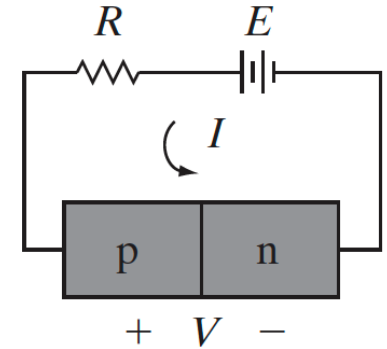
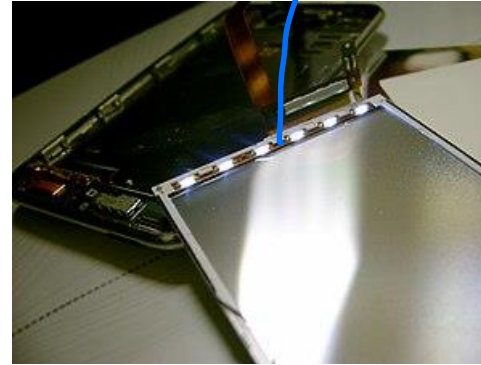
# LED Basics Light emitting diode

- Applications: displays, lighting, optical communications
- Convert electricity into light!
  - 1st quadrant
- Forward biased p-n junction
- What determines color of an LED?
  - Bandgap!
  - Governed by Planck relation
  - Practically, phosphors are also used to alter LED color

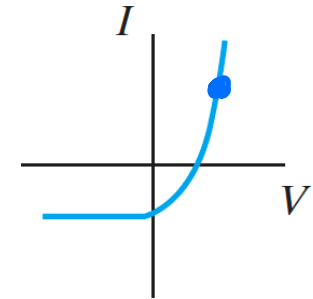
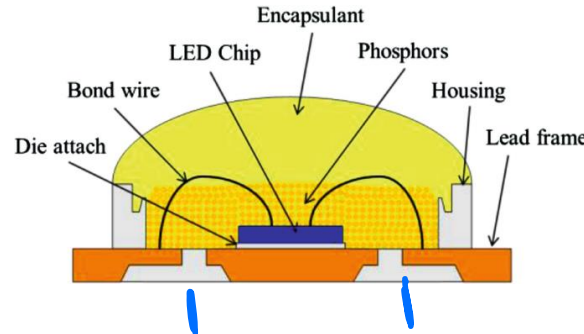
$$E_g(\text{eV}) = 1.24/\lambda (\mu\text{m})$$

- How does the dome shape of an LED help extract for photons?

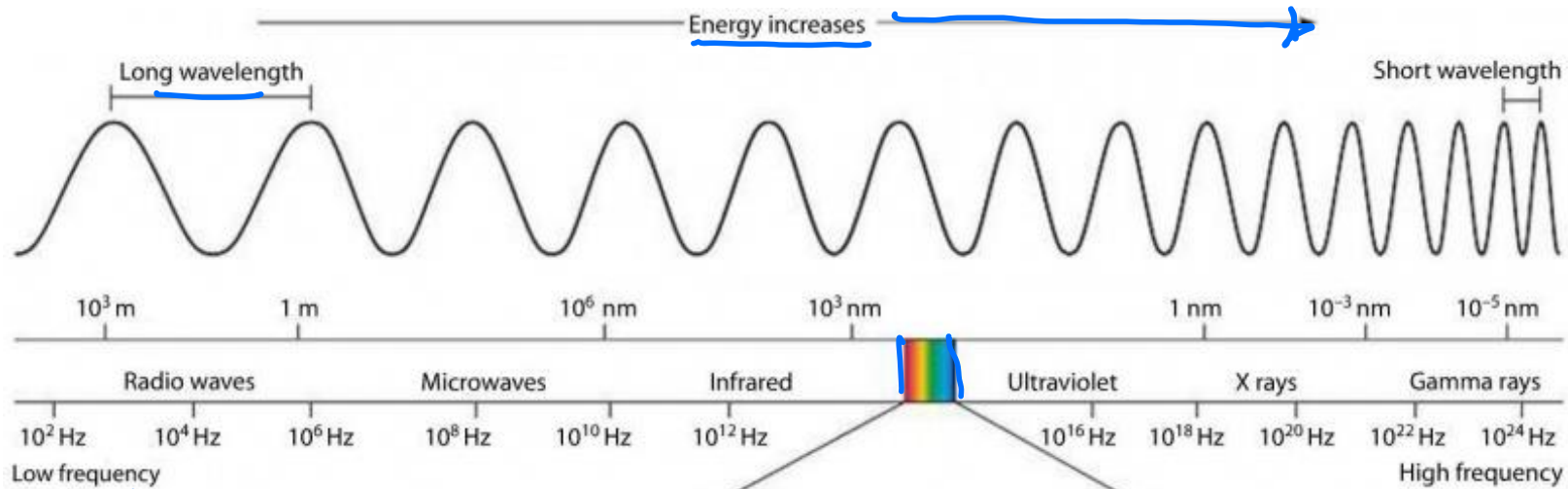
Minimizing total internal reflection



1st quadrant



# The Electromagnetic Spectrum



Color	Wavelength
violet	380–450 nm
blue	450–495 nm
green	495–570 nm
yellow	570–590 nm
orange	590–620 nm
red	620–750 nm

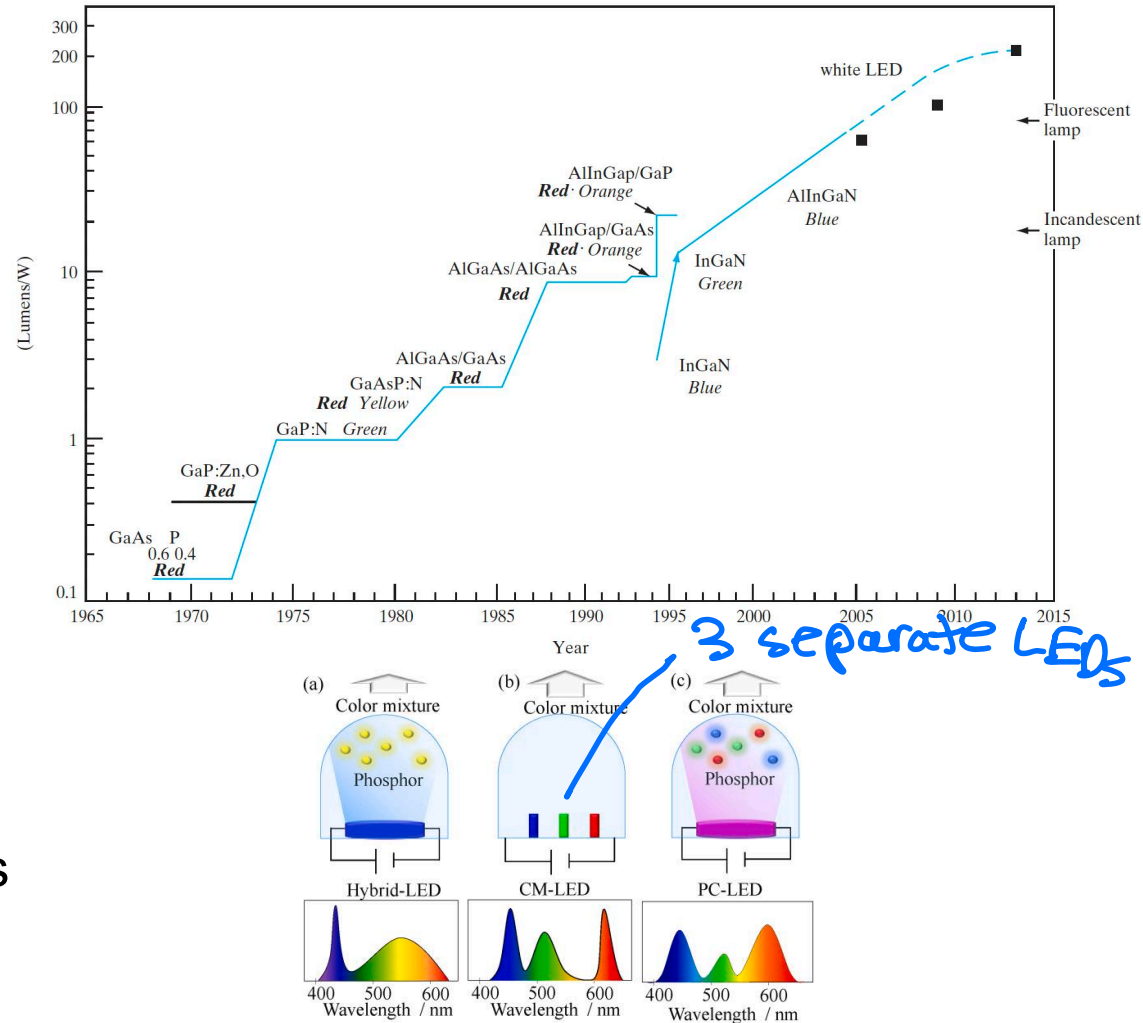
# Improvement in luminous intensity of LEDs over time

Luminous intensity: quantity of visible light that is emitted in unit time per solid angle

Why so much focus on developing green-blue LEDs?

RGB color mixing can be used to create efficient "white" LEDs

Pros of LED over other lamps: longer lifetimes, much higher efficiencies, less breakable, cool to the touch (safety)



# LED Design

- Besides dome shape, how else do we increase photon extraction?

Texture/roughness to the surface  
→ many mini lenses

- Challenge: Heat dissipation!

- Heat decreases efficiency
- Need proper packaging, like heat sinks

- Important: Low dislocation density crystals

- Defects can cause traps, and non-radiative recombination centers, decrease LED photon generation

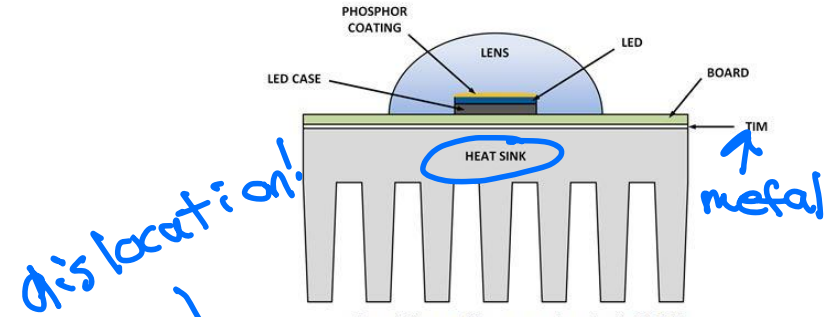
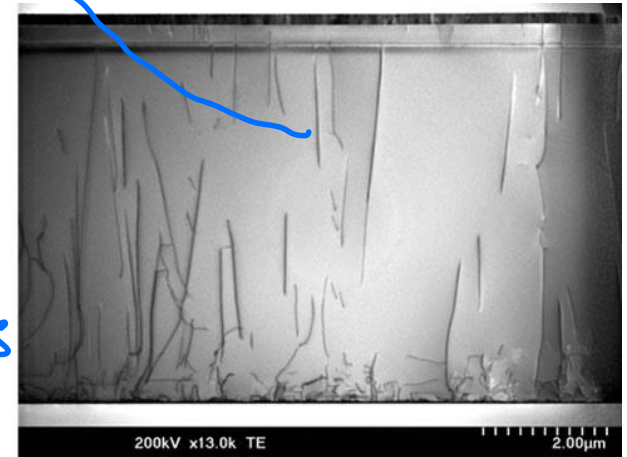


Figure 1 Diagram of the common elements of a LED light

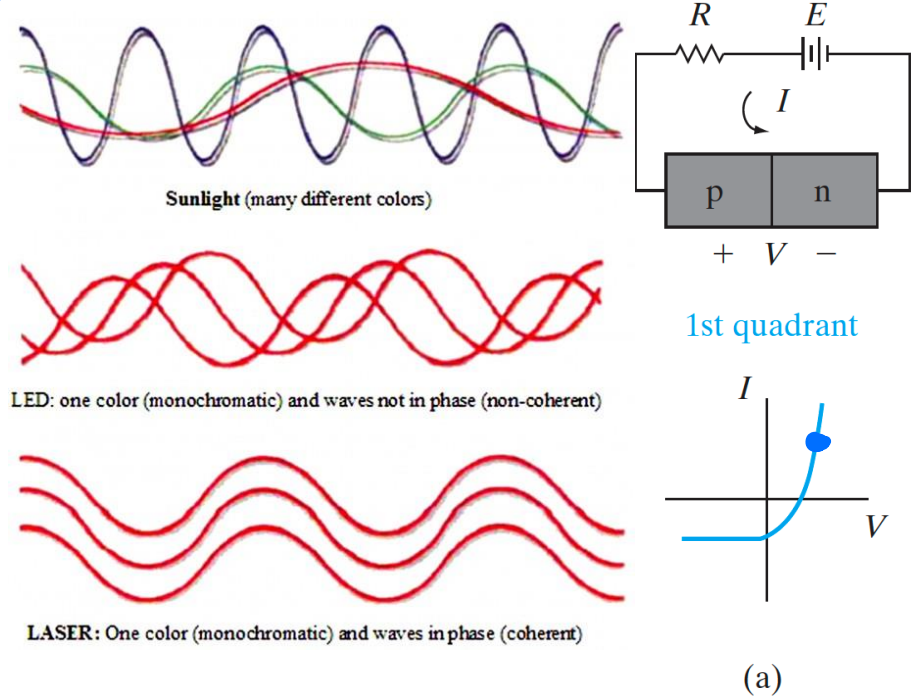




# Semiconductor Laser Basics

- Light **A**mplification by **S**timulated **E**mission of **R**adiation
- Laser light is:
  - Highly directional (unlike LED)
  - Coherent (photons are phase)
  - Monochromatic (single  $\lambda$ )
- Pro of semiconductors over other laser types: small size, high efficiency, output easily modulated by junction current, low power)
- So far we have described spontaneous emission (excited carriers randomly fall to lower energy states)
- How do we stimulate emission?

*CO<sub>2</sub>, ruby rod lasers*

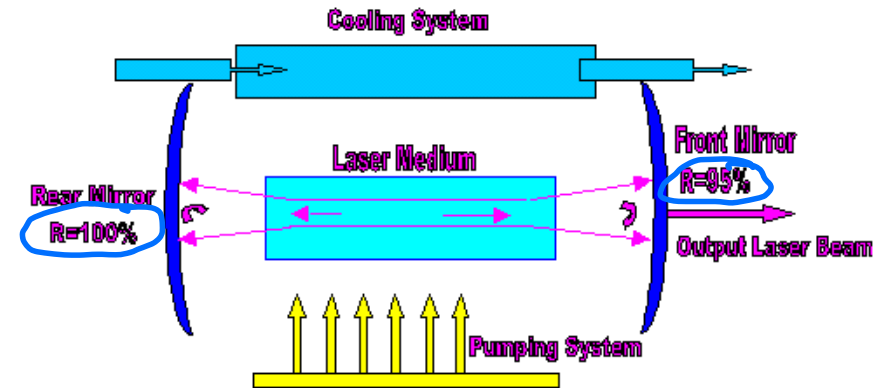
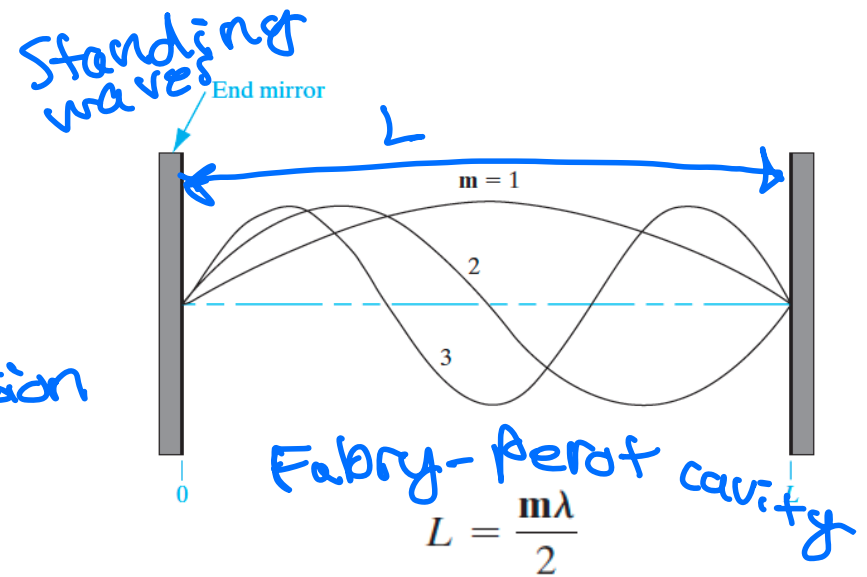


*1st quadrant*

(a)

# Optical Cavities

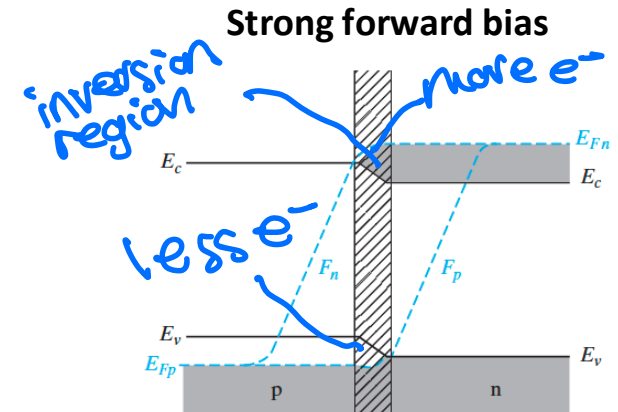
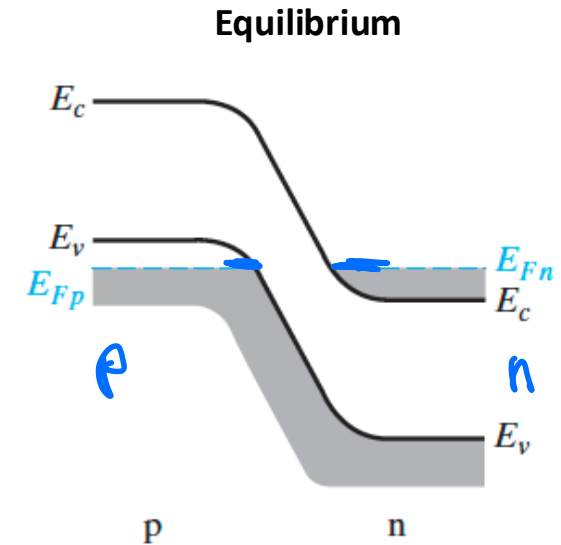
- Conditions for photon density due to stimulated emission to dominate over spontaneous emission and absorption:
  1. **Optical resonant cavity to encourage photon field to build up**
  2. A means of obtaining population inversion
- Stimulated emission at  $\lambda = \frac{2L}{m}$  where  $m$  is an integer
- Parallel mirrors can provide multiple internal reflections
- Fraction of light that “leaks out” of the resonant system is the output of the laser



# Population Inversion

- We can degenerately dope semiconductors
  - $N_a, N_d$  very very high!
  - Fermi levels can move into the bands
  - Recall: Fermi level is the energy with 50% probability of being occupied!
- At strong forward bias, barrier is lowered  $\rightarrow$  many e- and  $h^+$  are injected across junction
- Instead of depleting junction region, we have a large population of carriers around the junction
- AKA there are more e- in the conduction band than in the valence band!
- If concentrations around junction are large enough, the condition of population inversion is met  $\rightarrow$  called inversion region

Sources: Textbook



# Population Inversion Continued

- Our carrier concentrations can still be found as a function of quasi-Fermi levels

$$n = N_c e^{-(E_c - F_n)/kT} = n_i e^{(F_n - E_i)/kT}$$

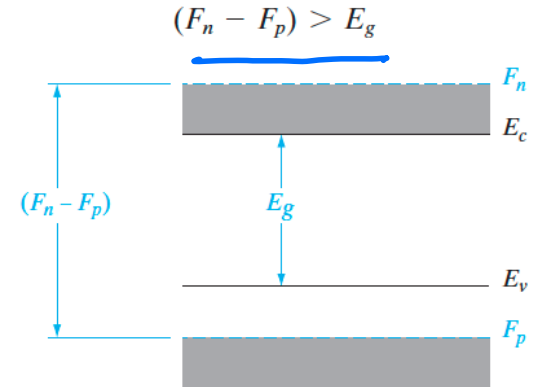
$$p = N_v e^{-(F_p - E_v)/kT} = n_i e^{(E_i - F_p)/kT}$$

- Where the minimum carrier concentration that allows for population inverse occurs when  $F_n - F_p = E_g$
- Normally a range of transition energies, from

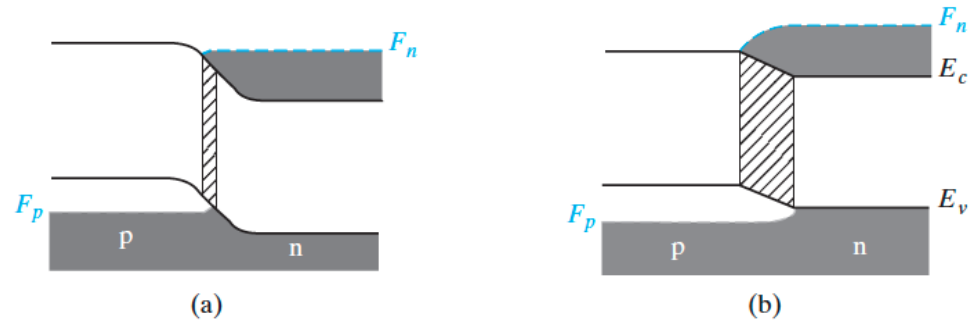
$$E_g < h\nu < (F_n - F_p)$$

- What does this mean for the emission spectra?

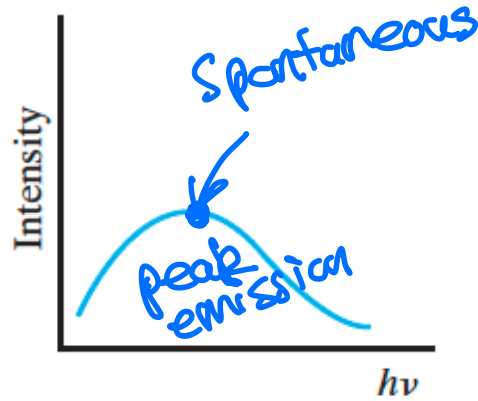
Condition for population inversion:



Variation of inversion-region width with forward bias  $V(a) < V(b)$ :



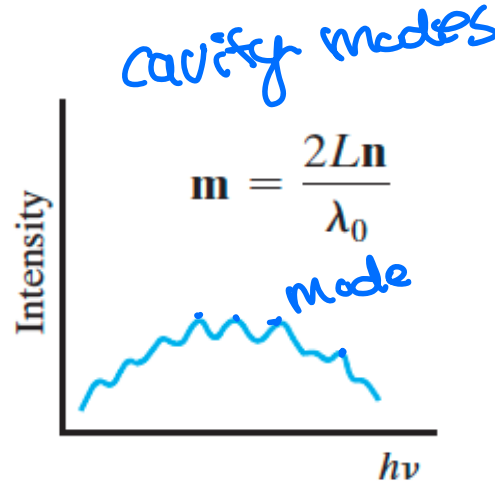
# Emission Spectra



(a)

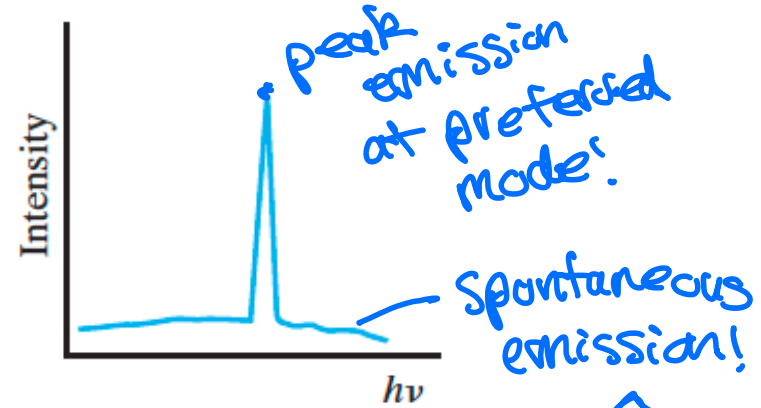
Low forward bias:  
spontaneous emission  
between

$$E_g < h\nu < (F_n - F_p)$$



(b)

Increased forward bias:  
Significant pop. inversion.  
Stimulated emission  
occurs at cavity modes.



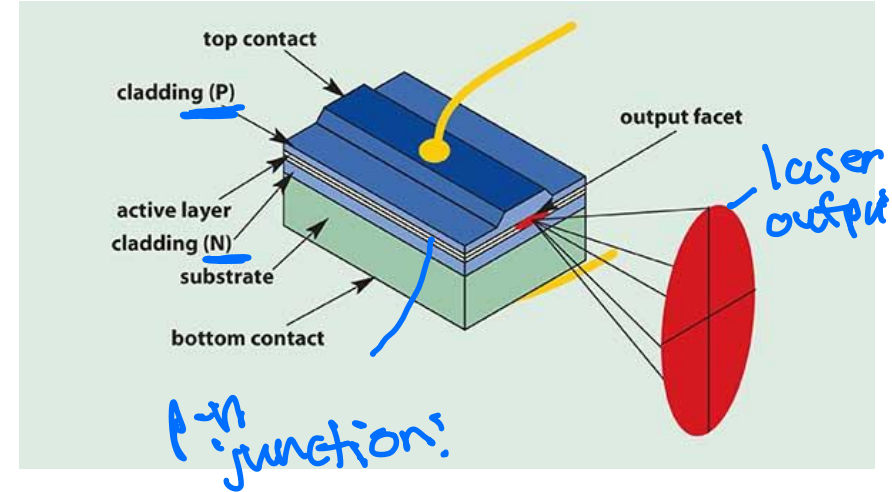
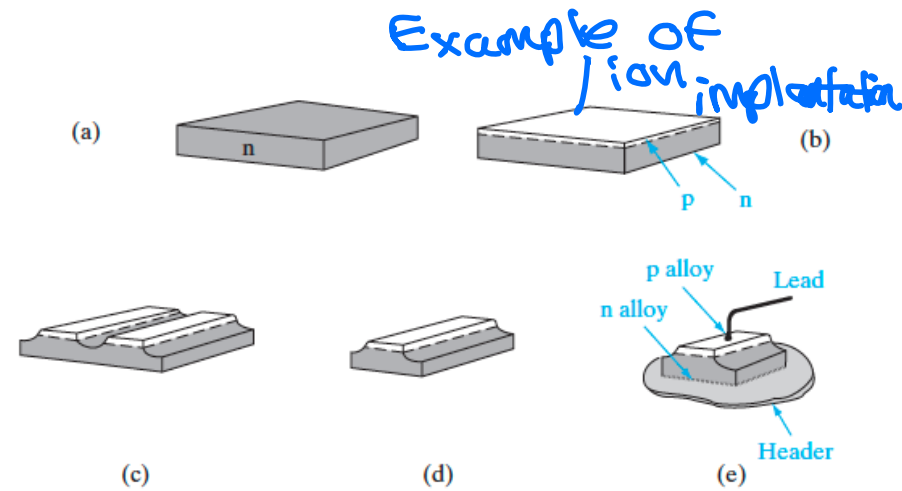
(c)

Higher forward bias:  
Preferred mode will  
dominate and is the main  
laser output. Nearly  
monochromatic.

- Question: what's the weak background "noise" in c?

# Semiconductor Laser Design and Fab

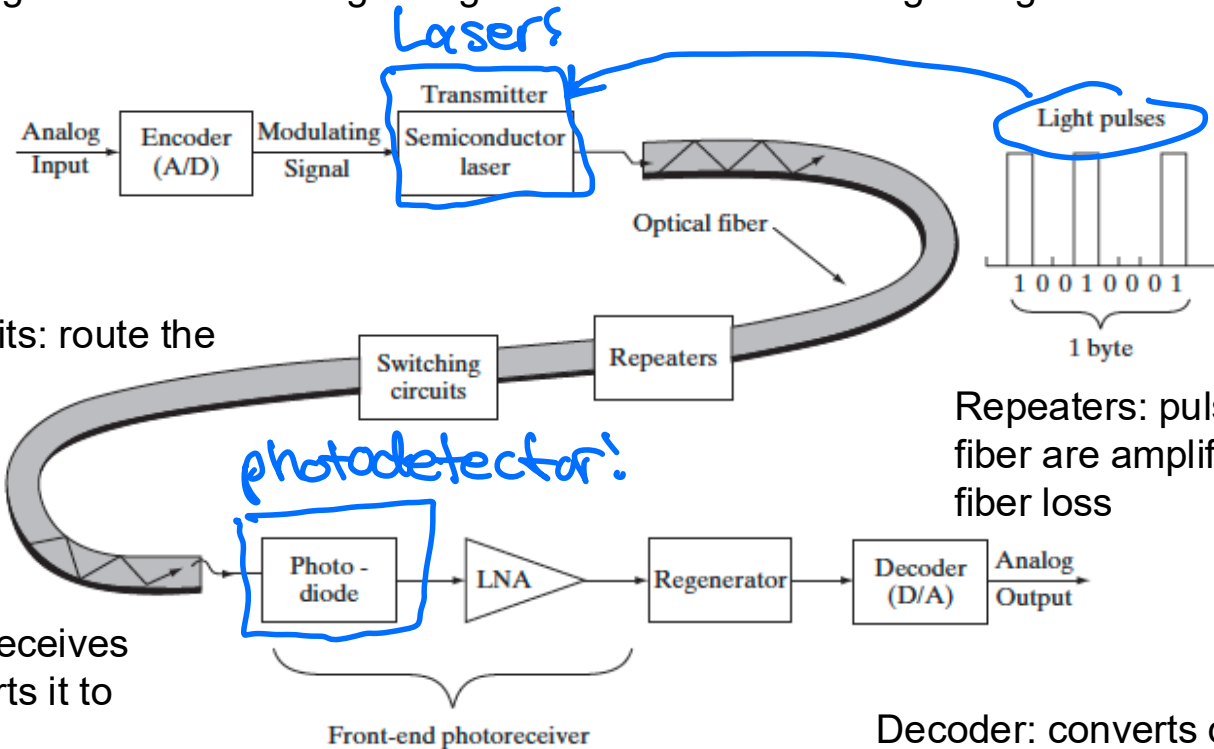
1. Form a highly doped (degenerate) p-n junction, usually from a direct semi like GaAs
  2. Construct cavity with proper geometry (depends on junction)
  3. Make contact
  4. Design to allow for efficient heat transfer
- Important: front and back faces must be flat and parallel (resonant cavity)
  - Heterojunctions, vertical cavity surface-emitting lasers (VCSELs) also popular



# Optoelectronics in Fiber Optics Communication

Encoder: Analog signal converted to digital signal

Transmitter: Digital signal modulates laser light as pulses



Switching circuits: route the signal

Repeaters: pulses sent down optical fiber are amplified to compensate for fiber loss

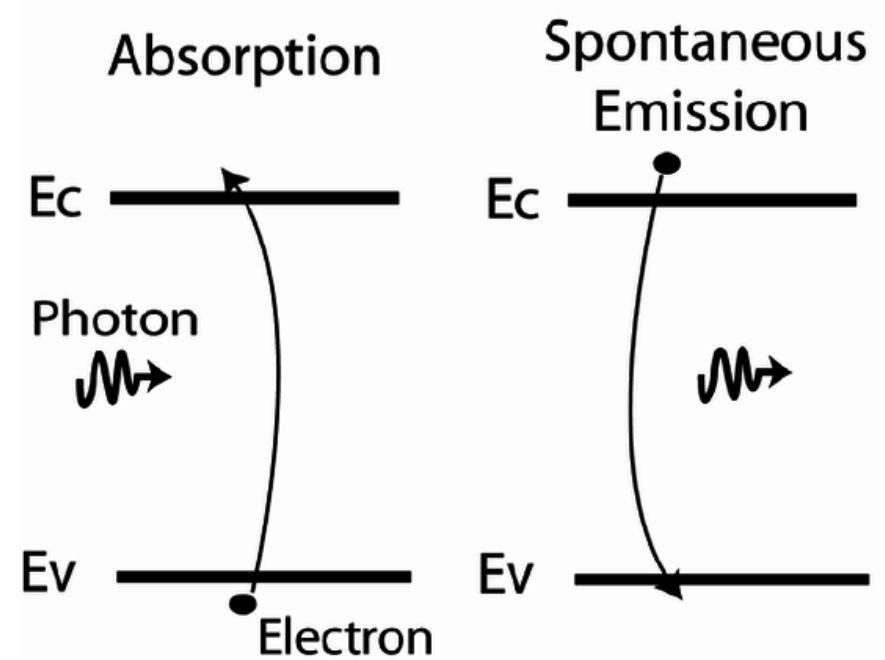
Photodiode + LNA: receives optical signal, converts it to electrical output

Decoder: converts digital signal back to analog

Regenerator: Corrects propagation distortion

# Summary: Interaction of Light with Semiconductors

- Absorption: High probability that light (photons) with energy  $E > E_g$  are absorbed by the atoms. e- are excited from  $E_v$  to  $E_c$
- Spontaneous emission: If ~~atoms~~ <sup>carriers</sup> are in an excited state, spontaneous decay events cause e- to “fall” from  $E_c$  to  $E_v$ , and emit a photon with  $E = E_g$



Photodiodes,  
LEDs!



# Summary: Interaction of Light with Semiconductors

- e- already in the excited state can be agitated by the passage of a photon that has  $E \geq E_g$ . The excited e- relaxes to the ground state, e- "falls" from  $E_c$  to  $E_v$ , and produces a **second photon** with energy  $E = E_g$ 
  - What happens to the original photon? *It is not absorbed!*
  - Results: 2 photons of the same frequency are emitted (amplification)
  - Population inversion is necessary condition, because it ensures that there are more e- in an excited state than in the ground state, allows for a chain reaction of photon emission

